

ANNUAL REPORT 2011

STUDY AND CONSULTANCY FUND

(MOZ 01003)

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Acronyms

BTC	Belgian Technical Cooperation
JLCB	Joint Local Committee Meeting
M&E	Monitoring and Evaluation
MF	Ministry of Finances
R&D	Research and Development
SC	Steering Committee
TA	Technical Assistance
YTD	Year To Date

1 Project Form

Project name	Mozambique –Belgium Consultancy Fund
Project Code	MOZ 01003
Location	Mozambique
Budget	€0.5m
Key persons	Mrs. Neto Novela (Co-Authorizing Officer)- Ministry of Foreign Affairs FUNAE)
Partner Institution	Benefiting Government Institutions
Date of implementation Agreement	05 Mai 2002 extended in August 2006 up to 2008 and again re-extended up to 2012
Duration (months)	48 months (extended in 2006 for additional 24 months and then again in 2008 for 48 months)
Target groups	Requesting Mozambican Government entities
Global Objective	Financing in full or in part studies and consultancies in the framework of the Belgian-Mozambican Development Co-operation, including identification, preparation and follow up studies of projects and programmes, investigations, missions, seminars, audits, evaluations and services
Specific Objective	
Results	No activities were approved in 2011

2 Summary

2.1 Analysis of the intervention

Intervention logic	Efficiency	Effectiveness	Sustainability
<p>Specific Objective No Specific Objective as no TFF was developed</p>	-	-	-

Budget	Expenditure per year	Total expenditure year N (31/12/2011)	Balance of the budget	Execution rate
€ 500.000,00	€ 231.984,79	€7.397,02	€ 260.618,19	48%

2.2 Key elements

In May 2002, a Specific Agreement concerning the creation of the Fund was signed by both parties. Due to different interpretations of agreed modalities under the General Agreement on Co-operation between the Parties signed on 11 May 2001 and in light of a request from Mozambican authorities, an exchange of letters was then proposed in April 2005, which however only entered into force as from May 2006, when MINEC eventually appointed a Fund Co-Director (being the Deputy Director of the Admin. & Finances Directorate), who together with the BTC Resident Representative would manage the Fund

2.3 Key Risks

As above mentioned, different institutions are involved in the Steering Committee meetings making it difficult to meet regularly (every semester),

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2.4 Key lessons learned and recommendations

- 1- It is to recommend that the JLCB to consider the possibility of extending the use of the Fund to Non-Governmental Institutions so that proposals received from local NGOs and Associations could be entertained.

- 2- It is also crucial to assist the partner in developing its capacity to prepare adequate Terms of Reference and to assess the possibility of shortening the approving chain in order to speed up the initiation of studies.

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3 Analysis of the intervention

3.1 Context

The Existing Specific Agreement, which was signed in May 2002, refers to a Co-Managed Fund; however and in accordance with a request from the Mozambican authorities the actual Fund modality is Régie. Based on the referred exchange of letters from April 2005, MINEC was appointed by the government of Mozambique as its representative, but MINEC only appointed its Representative in 2006. As the Specific Agreement expired in May 2006, the Mozambican authorities requested an extension up to May 2010. Therefore, the intervention only started in 2007, when the first study was jointly approved by both parties.

3.1.1 Evolution of the Context

As per the existing agreement only public institutions (government entities) are eligible to use the fund for undertaking studies jointly approved by DGDC and MINEC. Even though the procurement process is fully managed by the Belgian Technical Cooperation, the preparation of the Terms of Reference for the approved studies is of the responsibility of the beneficiary, who in most cases does not have the capacity to develop them, this resulting in delays or cancellations of the tendering process.

3.1.2 Institutional Anchoring

As per the exchange of letters from April 2005, the Fund is managed by both parties, being MINEC the Representative of the Mozambican Government, while DGDC is the entity responsible for the supervision of the Fund. MINEC grants authority to the designated Fund Co-Director to approve proposals, while DGDC grants the same authority to the attaché for International Cooperation, responsible for the development Cooperation in Mozambique.

DGCD appoints the Belgian Technical Cooperation (BTC) as the executing agency responsible for the administration and follow up of the implementation of the Fund, who then designates its Representative for Mozambique as the Fund Co-Director, responsible for the follow up of the awarding process and the certifying approval of expenditures chargeable to the fund

3.1.3 Execution Modalities

The Existing Specific Agreement, which was signed in May 2002, refers to a Co-Managed Fund; however and in accordance with a request from the Mozambican Authorities the actual Fund modality is Reggie

3.1.4 Harmo-dynamics

As per the existing agreement only public institutions (government entities) are eligible to use the fund for undertaking studies jointly approved by DGDC and MINEC. Even though the procurement process is fully managed by the Belgian Technical Cooperation, the preparation of the Terms of Reference for the approved studies is of the responsibility of the beneficiary, who in most cases has not the capacity to develop them, this resulting in delays or cancellations of the tendering process.

There are no other international agencies that are participating as contributors and/or co-financiers of activities of the Fund.

3.2 Specific Objective

3.2.1 Indicators

The indicators of the activities of the fund are unique for each activity because each activity stands alone as a small / short-term project. In this regard the indicators can be best elaborated as per each study/consultancy implemented.

3.2.2 Analysis of progress made

No Progress to be reported during the period under review

3.2.3 Risks and Assumptions

Risk	Probability (score)	Potential implications		Risk Level (score)
		Describe	Score	
That remaining Funds will not be used by the end of extension	High	Low execution rate, return of Funds by the end of the SA and missed opportunity by local Government	High	C

3.2.4 Quality criteria

Criteria	Score	Comments
Effectiveness	D	<i>The lack of submission of proposals means that the</i>

		<i>objective of the Fund has not been achieved in the period under review.</i>
Efficiency	D	<i>There were no activities approved for implementation during the year under review owing to the inability of the partner institutions to write up proposals to the Fund.</i>
Sustainability	D	<i>Unless capacity has been built into the institutions that utilise the Fund, there will be no element of sustainability because of lack of proposals.</i>
Relevance	A	<i>Efforts should also be made in order to publicize the existence of the Fund and disseminate the eligibility criteria for the its use</i>

3.2.5 Potential Impact

Little impact and missed opportunity to fund needed studies and consultancies. In this regard it is not possible to tell whether the Fund is useful or not to the Government of Mozambique.

3.2.6 Recommendations

Recommendations	Source	Actor	Deadline
It is to recommend the JLCB considers the possibility of extending the use of the Fund to Non-Governmental Institutions so that proposals received from local NGOs and Associations could be entertained.	3.2.4.	Ministry of Finances, BTC and DGDC	1 MAR 2012
Efforts should also be made in order to publicize the existence of the fund and disseminate the eligibility criteria for the its use	3.2.3.	Ministry of Finances, BTC and DGD	1 APR 2012

3.3 Results

There are no results because no studies/consultancies proposals were received for approval and implementation.

3.3.1 Analysis of progress made

No progress to be reported. The last study regarding the food insecurity in 6 Districts of northern Gaza and 2 in Manica was undertaken in 2010

3.3.2 Risks and Assumptions

Risk	Probability (score)	Potential implications		Risk Level (score)
		Describe	Score	
Loose of funding opportunities by the Government of Mozambique	High	Return of Funds to Belgium	High	B

3.3.3 Quality criteria

Criteria	Score	Comments
Effectiveness	0	No studies undertaken during reporting period
Efficiency	0	
Sustainability	0	

3.3.4 Budget execution

Refer to Annexure 7.3 below

3.3.5 Recommendations

Recommendations	Source	Actor	Deadline

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That the approval process chain of activities is reduced.		Ministry of Finances, DGD and BTC	End of first quarter of 2012.
That a dedicated manager is appointed by the Ministry of Finance in order to guarantee the utilization of the Fund	Internal	Ministry of Finances, DGD and BTC	31 MAR 2012

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4 Transversal Themes

4.1 Environment

No studies were undertaken during the reporting period

4.2 Gender

No studies were undertaken during the reporting period

4.3 Social economy

No studies were undertaken during the reporting period

4.4 Children's rights

No studies were undertaken during the reporting period

4.5 HIV /AIDS

No studies were undertaken during the reporting period

5 Decisions taken by the JLCB and follow-up

Decisions	Source	Actor	Time of decision	Status
No JLCB was held for the Fund in the period under review				

6 Lessons Learned

	Lessons learned	Target audience
1	<p>As above mentioned, different institutions are involved in the Steering Committee meetings making it difficult to meet regularly (every semester), however each steering Committee for the 3 initiated studies met at least once to validate the tender proposals and approve the awarding process.</p> <p>It is to recommend that the JLCB consider the possibility of extending the use of the Fund to Non-Governmental Institutions so that proposals received from local NGOs and Associations could be entertained.</p> <p>It is also crucial to assist the partner in developing its capacity to prepare adequate ToRs and to assess the possibility of shortening the approving chain in order to speed up the initiation of studies.</p>	DGD BTC HQ, BTC Mozambique,
2	<p>Efforts should also be made in order to publicize the existence of the fund and disseminate the eligibility criteria for its use.</p>	DGD, BTC Mozambique,

7 Annexes

7.1 Logical framework

7.2 M&E activities

7.3 “Budget versus current (y – m)” Report

7.4 Beneficiaries

7.5 Operational planning Q1-2012

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7.1 LOGICAL FRAMEWORK

N/A as there is no TFF

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7.2 MONITORING & EVALUATION ACTIVITIES

1. JLCB meetings delayed. No meetings were held during the reporting period

No evaluation was undertaken during the period under review

7.3 BUDGET VERSUS CURRENT (Y – M)

Budget vs Actuals (Year to Month, Last 5 Years) of MOZ/01/003

Project Title : **Creation of a Mozambique-Belgium Consultancy Fund**

Budget Version : **F5**

Currency : **EUR**

YtM : **Report includes all closed transactions until the end date of the chosen closing**

Year to month : 31/12/2011

	Status	Fin Mode	Amount	Start to				Expenses	Total	Balance	% Exec
				2007	2008	2009	2010				
A CONSULTANCY FUND			269.050,00	51.757,25	22.271,42	75.026,30	83.143,99	7.458,16	239.657,12	29.392,88	89%
01 Consultancy Fund			0,00					-161,10	-161,10	161,10	2%
01 Consultancy fund		REGIE	0,00					-161,10	-161,10	161,10	2%
02 PRPE II Infrastructure Road			11.560,00	11.563,84				0,00	11.563,84	-3,84	100%
01 PRPE II Infrastructure Road		REGIE	11.560,00	11.563,84				0,00	11.563,84	-3,84	100%
03 Ministry of Planning & Development			55.280,00	40.193,41	15.087,28			0,00	55.280,69	-0,69	100%
01 Ministry of Planning & Development		REGIE	55.280,00	40.193,41	15.087,28			0,00	55.280,69	-0,69	100%
04 GACOPI Norms for Hospital design			0,00					0,00	0,00	0,00	2%
01 GACOPI Norms for Hospital Design		REGIE	0,00					0,00	0,00	0,00	2%
05 INAS Rural Development of Magudes			21.030,00			21.026,30		0,00	21.026,30	3,70	100%
01 INAS Rural Development of Magudes District		REGIE	21.030,00			21.026,30		0,00	21.026,30	3,70	100%
06 Archbishop in Nampula			60.000,00				60.000,00	0,00	60.000,00	0,00	100%
01 Archbishop in Nampula		REGIE	60.000,00				60.000,00	0,00	60.000,00	0,00	100%
07 Codex Conference Participation			7.180,00		7.184,14			0,00	7.184,14	-4,14	100%
01 Codex Conference Participation		REGIE	7.180,00		7.184,14			0,00	7.184,14	-4,14	100%
08 Demining Programme			0,00					0,00	0,00	0,00	2%
01 Demining Programme		REGIE	0,00					0,00	0,00	0,00	2%
09 UEM- University Eduardo Mondlane			54.000,00			54.000,00		0,00	54.000,00	0,00	100%
01 Seminars on Human and Social rights and		REGIE	54.000,00			54.000,00		0,00	54.000,00	0,00	100%
10 UEM/ MPD			0,00					0,00	0,00	0,00	2%
		REGIE	500.000,00	51.821,63	22.010,36	75.026,30	83.126,50	7.397,02	239.381,81	260.618,19	48%
		COGEST									
		TOTAL	500.000,00	51.821,63	22.010,36	75.026,30	83.126,50	7.397,02	239.381,81	260.618,19	48%



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7.4 BUDGET TFF

No TFF for the fund

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7.4 BENEFICIARIES

As per the existing agreement only public institutions (government entities) are eligible to use the fund for undertaking studies jointly approved by DGCD and MINEC.

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7.5. OPERATIONAL PLANNING Q1 – 2011

R1: Identification Study for PRPE II – Infrastructure- Milagre Mabote Road- Maputo

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.1.1: Review of ToRs															completed
A.1.2: Finalize Procurement of Consultancy services															Completed
A.1.3: Inception Report															Completed
A.1.4: Final report															

R2: Co- Funding MPD- Planning and Monitoring Cycle- Nationwide

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.2.1: Review of ToRs															Completed
A.2.2: Finalize Procurement of Consultancy services															Completed
A.2.3: Inception report															Study Cancelled
A.2.4: Final report															

R3: Rehabilitation of Marere Hospital- Nampula

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Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.3.1 : Review of ToRs															completed
A.3.2 : Finalize Procurement of Consultancy services															Completed
A.3.3: Inception Report															completed
A.3.4 : Final Report															

R4: Rural development of Magude District- Maputo

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.4.1 Review of ToRs															Completed
A4.2 : Finalize Procurement of Consultancy services															Completed but study cancelled due to blockage from Supervising Officer

R5: Development of Norms for Hospital Designs

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.5.1 : Review of ToRs															Completed
A.5.2 : Finalize Procurement of Consultancy services															Completed but Study was cancelled

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R6: Codex Conference Participation

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.6.1 : Purchase of Tickets and Perdiems									???	???	???				Completed
A.6.2 : Participation in the Conference															Completed

R7: Demining Program in the Bordering Zones –Mozambique /Zimbabwe

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.7.1 : Review of ToRs															completed
A.7.2 : Finalize Procurement of Consultancy services															Completed
A.7.3:Inception Report															Completed but not delivered to BTC
A.7.4: Final Report															Completed but not delivered to BTC because Study was paid by USAID

R8: UEM- Seminars on Human and Social and HIV/AIDS research

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
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A.8.1 : Selection of Seminars and Participants																	completed
A.8.2 : Participation in the Conference																	completed

R9: MPD-UEM – Study on the Poverty Alleviation Management Systems

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.9.1 : Review of ToRs															Not completed because study was funded by other sources
A.9.2 :															

R10: SETSAN – Study on the causes for Food Insecurity in 7 districts of Gaza, Inhambane and Sofala

Activities	Sub activities	J	F	M	A	M	J	J	A	S	O	N	D	Person in charge	Remarks - Difficulties – Points of attention
A.10.1 : Review of ToRs															completed
A.10.2 : Supervision of works Finalize Procurement of Consultancy services															Completed
A.10.3: Inception Report															Completed
A.10.4: Final Report															